

Applicant or Patentee: Mitsuru Takeyasu, et al.

SMALL BUSINESS

Serial or Patent No.:

Attorney's

Filed or Issued:

Docket No.: 80A 3002For: An LED Lighting Fixture

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ZENI LITE BUOY CO., LTD.ADDRESS OF CONCERN 176-1, Toyoshimaminami 2-chome, Ikeda-shi,
Osaka, Japan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled AN LED LIGHTING FIXTURE

MITSURU TAKEYASU, TADAHIRO ARIMURA, YOSHIHISA TABATA and by inventor(s)
DAISUKE KAIBARA described in

☒ the specification filed herewith☐ application serial no. _____, filed _____☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Tadashi TAKEYASUTITLE OF PERSON OTHER THAN OWNER PresidentADDRESS OF PERSON SIGNING 176-1, Toyoshimaminami 2-chome, Ikeda-shi,
Osaka, Japan

SIGNATURE _____

DATE 17 July 2000

DECLARATION AND POWER OF ATTORNEY

PATENT (U.S.A.)
KODA & ANDROLIA
ATTORNEY'S DOCKET NO.

80A 3002

As a below named inventor, I declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

AN LED LIGHTING FIXTURE

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or Inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the appropriate line, any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)					
COUNTRY	APPLICATION NUMBER	Month	DATE OF FILING Day	Year	PRIORITY CLAIMED
Japan	11-315519	11	05	1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

APPLICATION NUMBER	FILING DATE	STATUS - PATENTED, PENDING, ABANDONED

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith.

WILLIAM L. ANDROLIA, REG. NO. 27,177; H. HENRY KODA, Reg. No. 27,729; ALEX CHARTOVE, Reg. No. 31,942.

SEND ALL CORRESPONDENCE TO:

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DIRECT TELEPHONE CALLS TO:

KODA & ANDROLIA
(310) 277-1391

1	Name of Inventor	FIRST NAME MITSURU	LAST NAME TAKEYASU	MIDDLE NAME	Residence CITY IKEDA	STATE or COUNTRY JAPAN
	Post Office Address	176-1, Toyoshimaminami 2-chome, Ikeda-shi, Osaka, Japan				
2	Name of Inventor	FIRST NAME TADAHIRO	LAST NAME ARIMURA	MIDDLE NAME	Residence CITY IKEDA	STATE or COUNTRY JAPAN
	Post Office Address	176-1, Toyoshimaminami 2-chome, Ikeda-shi, Osaka, Japan				
3	Name of Inventor	FIRST NAME YOSHIHISA	LAST NAME TABATA	MIDDLE NAME	Residence CITY IKEDA	STATE or COUNTRY JAPAN
	Post Office Address	176-1, Toyoshimaminami 2-chome, Ikeda-shi, Osaka, Japan				
4	Name of Inventor	FIRST NAME DAISUKE	LAST NAME KAIBARA	MIDDLE NAME	Residence CITY IKEDA	STATE or COUNTRY JAPAN
	Post Office Address	176-1, Toyoshimaminami 2-chome, Ikeda-shi, Osaka, Japan				

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements and the like may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE OF INVENTOR 201 <i>Mitsuru Takeyasu</i>	SIGNATURE OF INVENTOR 202 <i>Tadahiro Arimura</i>
DATE 17 July 2000	DATE 17 July 2000
SIGNATURE OF INVENTOR 203 <i>Daisuke Kaibara</i>	SIGNATURE OF INVENTOR 204 <i>Daisuke Kaibara</i>
DATE 17 July 2000	DATE 17 July 2000

☐ Additional inventors are named on separate Declarations attached hereto.

FORM DPA-PAT (REV 07/98)